IN THE UNITED STATES DISTRICT COURT FOR THE DISTRICT OF MASSACHUSETTS

Yisel Dean, Independent Administratrix of the Estate of Steven Dean, Deceased, and on behalf of all statutory beneficiaries,)))	Case No. 05 CV 10155 PBS
-4.4.420)	
Plaintiff,)	
)	
VS.)	
)	
Raytheon Company, a Delaware corporation	1,)	
Raytheon Aircraft Holdings, Inc. a)	
Delaware Corporation, Raytheon Aircraft)	
Company, a Kansas Corporation, Raytheon)	
Aircraft Credit Corporation, a Kansas)	
Corporation, Colgan Air, Inc.,)	
a Virginia Corporation d/b/a US Air)	
Express,)	
Defendants.)	
)	

ANSWER OF DEFENDANTS, RAYTHEON COMPANY, RAYTHEON AIRCRAFT HOLDINGS, INC., RAYTHEON AIRCRAFT COMPANY AND RAYTHEON AIRCRAFT CREDIT CORPORATION TO SECOND AMENDED COMPLAINT

Defendants Raytheon Company, Raytheon Aircraft Holdings, Inc., Raytheon Aircraft Company, and Raytheon Aircraft Credit Corporation (collectively referred to herein as Raytheon Defendants unless otherwise individually designated) in response to plaintiff's Second Amended Complaint, state and allege as follows:

FIRST DEFENSE

The Raytheon Defendants state that the plaintiff has failed to state a claim upon which relief can be granted.

SECOND DEFENSE

The Raytheon Defendants hereby respond to the plaintiff's second Amended Complaint, paragraph by paragraph, as follows:

PARTIES

The Plaintiff, Yisel Dean, at all times relevant herein resides in the state of Texas, 1. and has been appointed by the Probate Court of Tarrant County, Texas, as Independent Administratrix of the Estate of Steven Dean who died in the crash of US Airways Express flight 9446, on August 26, 2003.¹

ANSWER: The Raytheon Defendants are without sufficient information or knowledge to admit or deny the allegations of paragraph 1 of plaintiff's Second Amended Complaint and therefore deny them at this time.

- 2. Plaintiff also brings this action on behalf of all beneficiaries including herself, her and the deceased's minor child and other next or kin.
- The Raytheon Defendants are without sufficient information or knowledge to ANSWER: admit or deny the allegations of paragraph 2 of plaintiff's Second Amended Complaint and therefore deny them at this time.
- 3. Defendant, Raytheon Company, is a Delaware corporation with its principal place of business in Waltham, Massachusetts.
- ANSWER: Defendant Raytheon Company admits the allegations of paragraph 3 of plaintiff's Second Amended Complaint.
- 4. Defendant, Raytheon Aircraft Holdings, Inc. is a wholly owned subsidiary of the Raytheon Company, and is a Delaware corporation with its principal place of business in Wichita, Kansas.
- Defendant Raytheon Company admits the allegations of paragraph 4 of plaintiff's **ANSWER:** Second Amended Complaint.

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¹For convenience in review, the individual allegations of plaintiff's Second Amended Complaint are reproduced, followed by the Raytheon Defendants' responses.

- 5. Defendants Raytheon Aircraft Company and Raytheon Aircraft Credit Corporation are wholly owned subsidaries of the Raytheon Aircraft Holdings, Inc. company, are Kansas Corporations with their principal place of business in Wichita, Kansas.
- **ANSWER**: Defendant Raytheon Company admits the allegations of paragraph 5 of plaintiff's Second Amended Complaint.
- 6. Raytheon Company, Raytheon Aircraft Holdings, Inc., Raytheon Aircraft Company, and Raytheon Credit Corporation are collectively referred to herein as "Raytheon".
- ANSWER: The Raytheon Defendants object to plaintiff referring to them collectively as a single entity and making common allegations against them, as they are distinct and separate entities with different positions, roles, responsibilities, obligations and rights.
- 7. The defendant, Colgan Air, Inc., is a Delaware Corporation with its principal place of business in Manassas, Virginia and it is engaged in business in several other states, including Massachusetts. Colgan Air operated flights for US Airways as Colgan Air doing business as US Airways Express (Colgan d/b/a US Airways Express).
- ANSWER: The Raytheon Defendants are without sufficient information or knowledge to confirm the accuracy of the allegations of paragraph 7 of plaintiff's Second Amended Complaint but nonetheless believe them to be accurate.
- 8. Colgan Air and Colgan Air d/b/a US Airways Express are collectively referred to herein as "Colgan".
- **ANSWER**: The Raytheon Defendants admit that plaintiff refers to all Colgan entities collectively as one.

JURISDICTION AND VENUE

9. The amount in controversy exceeds \$75,000 exclusive of interest and costs.

- **ANSWER**: The Raytheon Defendants admit the allegations of paragraph 9 of plaintiff's Second Amended Complaint.
 - 10. This court has subject matter jurisdiction pursuant to 28 U.S.C. §1332.
- **ANSWER**: The Raytheon Defendants admit the allegations of paragraph 10 of plaintiff's Second Amended Complaint.
- 11. A substantial part of the acts and omissions giving rise to the claims set forth in this complaint occurred in this judicial district. All defendants are doing business in this judicial district. Therefore, this court has venue pursuant to 28 U.S.C. §1391(a).
- ANSWER: The Raytheon Defendants, without admitting or denying the subjective allegations and legal conclusions of paragraph 11 of plaintiff's Second Amended Complaint, state that they do not contest venue before this Court.
- 12. The plaintiff claims damages under Massachusetts General Laws chapter 229 §2 and §6, for the wrongful death and conscious suffering of Steven Dean, for herself, their daughter, and other next of kin.
- **ANSWER**: The Raytheon Defendants admit that plaintiff makes the alleged claims but deny any liability for them.
- 13. The plaintiff claims exemplary damages for the gross negligence of an employer as allowed by Texas Labor Code Annotated § 408.001(b).
- ANSWER: The Raytheon Defendants admit that plaintiff makes the alleged claims but deny any liability for them. None of the Raytheon Defendants was an employer of the plaintiff or her decedent, and the Raytheon Defendants therefore assume that the allegations of paragraph 13 are not directed at them.

- 14. Plaintiff claims damages pursuant to Massachusetts General Laws Chapter 106 §2-313 through §2-315 and §2-318 for breach of express and implied warranties.
- **ANSWER**: The Raytheon Defendants admit that plaintiff makes the alleged claims but deny any liability for them.
- 15. Plaintiff claims prejudgment interest pursuant to Massachusetts General Laws Chapter 229 §11 and as otherwise allowed by law.
- **ANSWER:** The Raytheon Defendants admit that plaintiff makes the alleged claims but deny any liability for them.
- 16. Plaintiff's claims damages for unfair and deceptive acts and practices committed in violation of Massachusetts General Laws Chapter 93A, § 2, 9.
- **ANSWER:** The Raytheon Defendants admit that plaintiff makes the alleged claims but deny any liability for them.

GENERAL ALLEGATIONS AND FACTUAL BACKGROUND

- 17. At all times relevant herein, Colgan operated under an agreement with US Airways as a US Airways Express carrier.
- ANSWER: The Raytheon Defendants are without sufficient information or knowledge to admit or deny the allegations of paragraph 17 of plaintiff's Second Amended Complaint and therefore deny them at this time.
- 18. At all times relevant herein, Colgan also operated as Colgan Air d/b/a US Airways Express.
- ANSWER: The Raytheon Defendants are without sufficient information or knowledge to admit or deny the allegations of paragraph 18 of plaintiff's Second Amended Complaint and therefore deny them at this time.

- 19. The Defendant, Colgan Air, Inc., is a Delaware Corporation with its principal place of business in Manassas, Virginia and it is engaged in business in several other states, including Massachusetts. Colgan Air operated flights for US Airways as Colgan Air doing business as US Airways Express (Colgan d/b/a US Airways Express).
- ANSWER: The Raytheon Defendants are without sufficient information or knowledge to confirm the accuracy of the allegations of paragraph 19 of plaintiff's Second Amended Complaint but nonetheless believe them to be accurate.
- 20. Colgan Air and Colgan Air d/b/a US Airways Express are collectively referred to herein as "Colgan".
- **ANSWER**: The Raytheon Defendants admit that plaintiff refers to all Colgan entities collectively as one.
- 21. U.S. Airways Group, Inc. (US Airways) is a Delaware Corporation and US Airways, Inc. and US Airways Express are Delaware Corporations and are US Airway's Group's operating subsidiaries. All US Airways corporations and subsidiaries are collective referred to herein as US Airways.
- ANSWER: The Raytheon Defendants are without sufficient information or knowledge to either admit or deny the allegations of paragraph 22 of plaintiff's Second Amended Complaint.
- 22. US Airways does business in interstate commerce in hundreds of locations in the United States as well as in other countries.
- ANSWER: The Raytheon Defendants are without sufficient information or knowledge to either admit or deny the allegations of paragraph 22 of plaintiff's Second Amended Complaint.

- 23. The type of agreement Colgan entered into with US Airways is generally described by as a code-share.
- ANSWER: The Raytheon Defendants are without sufficient information to either admit or deny the allegations of paragraph 23 of plaintiff's Second Amended Complaint and therefore deny them at this time.
- 24. A code-share is a contractual arrangement between two carriers in which one carrier operates flights for another carrier.
- ANSWER: The Raytheon Defendants are without sufficient information to either admit or deny the allegations of paragraph 24 of plaintiff's Second Amended Complaint and therefore deny them at this time.
- 25. Under a code-share agreement, a smaller regional carrier often utilizes the brand, names, insignia, uniforms, reservations, scheduling, paint schemes and other indicia of the major carrier.
- ANSWER: The Raytheon Defendants are without sufficient information to either admit or deny the allegations of paragraph 25 of plaintiff's Second Amended Complaint and therefore deny them at this time.
- 26. The US Airways Express code share arrangements are either in the form of a capacity purchase or a "prorate" agreement. The carriers with a prorate agreement are non-owned turboprop operators and include all or a portion of the turboprop operations of Colgan.
- ANSWER: The Raytheon Defendants are without sufficient information or knowledge to admit or deny the allegations of paragraph 26 of plaintiff's Second Amended Complaint and therefore deny them at this time.

- 27. The prorate agreements provide for affiliate carriers to pay certain service fees to US Airways as well as a prorated share of revenue for connecting customers. US Airways is responsible for pricing and marketing of connecting services to and from the prorate carrier. The prorate carrier is responsible for pricing and marketing the local point to point markets.
- ANSWER: The Raytheon Defendants are without sufficient information or knowledge to admit or deny the allegations of paragraph 27 of plaintiff's Second Amended Complaint and therefore deny them at this time.
- 28. US Airways Express carriers, including Colgan, use US Airways' reservation systems, and have logos, service marks, aircraft paint schemes and uniforms of US Airways.
- ANSWER: The Raytheon Defendants are without sufficient information or knowledge to admit or deny the allegations of paragraph 28 of plaintiff's Second Amended Complaint and therefore deny them at this time.
- 29. On August 26, 2003, Steven Dean was killed while serving as the First Officer of US Airways flight 9446. Scott Knabe, the captain, was also killed. The plane was a Beech 1900D, registration number N240CJ, ("the aircraft") operated by Colgan Air d/b/a US Airways Express. The plane was painted and the crew uniformed as US Airways.
- **ANSWER**: The Raytheon Defendants admit the allegations contained in paragraph 29 of plaintiff's Second Amended Complaint.
- 30. The aircraft crashed into the water off the coast of Yarmouth, Massachusetts shortly after takeoff from Barnstable Municipal Airport.
- **ANSWER**: The Raytheon Defendants admit the allegations contained in paragraph 30 of plaintiff's Second Amended Complaint.

- 31. The aircraft was manufactured by the Raytheon Aircraft Company, and owned by Raytheon Credit Corporation which leased it to Colgan on a seven (7) year lease beginning in January of 2003.
- **ANSWER**: The Raytheon Defendants admit the allegations contained in paragraph 31 of plaintiff's Second Amended Complaint.
- 32. The aircraft had performed 1219.1 flight hours while operated by Colgan d/b/a US Airways Express, before crashing.
- **ANSWER**: The Raytheon Defendants admit the allegations contained in paragraph 32 of plaintiff's Second Amended Complaint.
- 33. At the time of the crash the aircraft was owned by and registered to Raytheon Credit.
- ANSWER: The Raytheon Defendants admit the allegations contained in paragraph 33 of plaintiff's Second Amended Complaint insofar as the aircraft was owned by and registered to Raytheon Aircraft Credit Corporation.
- 34. Raytheon Aircraft Corporation designed and manufactured the Beech 1900D. The model was first certificated in 1990. The aircraft at issue herein was manufactured in 1993.
- ANSWER: The Raytheon Defendants deny that the aircraft model was first certificated in 1990 by otherwise admits the remaining allegations contained in paragraph 34 of plaintiff's Second Amended Complaint.
- 35. The aircraft was in service with another operator (or operators) before it was returned to Raytheon's possession and subsequently leased for operation by Colgan.
- ANSWER: The Raytheon Defendants admit the allegations contained in paragraph 35 of plaintiff's Second Amended Complaint.

- 36. Colgan experienced problems, including problems with the trim, on the aircraft at issue herein after receiving the aircraft from Raytheon and before August 26, 2003.
- ANSWER: The Raytheon Defendants are without sufficient information or knowledge to admit or deny the allegations of paragraph 36 of plaintiff's Second Amended Complaint and therefore deny them at this time.
 - 37. Raytheon provided advice and guidance on the repair of this aircraft.
- ANSWER: Raytheon Aircraft Company admits only that its customer service department generally provides technical support to mechanics repairing its aircraft, including from time to time the 1900D that is the subject of this case. Defendants Raytheon Company, Raytheon Aircraft Holdings, Inc. and Raytheon Aircraft Credit Corporation do not engage in such activities.
- 38. In addition to designing and manufacturing this aircraft, Raytheon wrote and provided the manufacturer's Airline Maintenance Manual (AMM) for this aircraft.
- ANSWER: Defendant Raytheon Aircraft Company admits that it designed and manufactured the 1900D aircraft and that it provides maintenance manuals for support of the aircraft. Colgan may, however, have been maintaining its aircraft in accordance with its own FAA-approved maintenance and inspection program. Defendants Raytheon Company, Raytheon Aircraft Holdings, Inc., and Raytheon Aircraft Credit Corporation are not engaged in such activities.
- 39. On August 23-26, 2003, the accident airplane was in Hyannis, Massachusetts for maintenance, inspection and/or repair.
- **ANSWER**: The Raytheon Defendants admit the allegations contained in paragraph 39 of plaintiff's Second Amended Complaint.

- 39. The mechanics consulted the AAM in making repairs and performing maintenance on this aircraft.
- ANSWER: The Raytheon Defendants are without sufficient information or knowledge to confirm whether the Colgan Air mechanics, working on the subject aircraft in August of 2003, consulted Raytheon's particular Airline Maintenance Manual (AMM) (mistakenly designated as AAM in the Second Amended Complaint) and therefore denies those allegations at this time.
- 41. The mechanics consulted Raytheon on or about August 24 or 25, 2003, about the repair of this aircraft.
- ANSWER: In response to paragraph 41 of plaintiff's Second Amended Complaint, defendant Raytheon Aircraft Company admits only that specific inquiries were received from mechanics for Colgan Air on August 23 and August 24, 2003, with questions about which trim actuator parts could be used together. Defendants Raytheon Company, Raytheon Aircraft Holdings, Inc., and Raytheon Aircraft Credit Corporation deny that they were involved in these matters.
- 42. Immediately prior to the fatal flight, maintenance had been performed on the airplane which included work on the forward elevator pitch trim tab cable, elevator trim activator [sic] and trim drum.
- **ANSWER**: The Raytheon Defendants admit the allegations contained in paragraph 42 of plaintiff's Second Amended Complaint.
 - 43. The AMM was erroneous, defective, misleading and omitted information, to-wit:
 - a) The trim drum was depicted backward.

- b) Relying on the erroneous drawings, a mechanic could, and did, mis-route the cable around the drum.
- c) Relying on the erroneous drawings, the trim system could be, and was, reversed.
- d) The depiction in the AMM showed the nose-up trim tab cable emanating from the aft end of the drum, rather than the forward end.
- e) The depiction in the AMM showed the nose-down cable emanating from the forward end of the drum, rather than the aft.
- f) There was no procedure for an operational check in the elevator trim tab cable-maintenance practices chapter, Chapter 27-30-04.
- g) There was no referral in Chapter 27-30-04 to Chapter 27-30-09, "Elevator Trim-Maintenance Practices...Elevator Trim Operational Check," which did contain a procedure for a [sic] operational check of the elevator trim system.

ANSWER: The Raytheon Defendants deny the collective allegations contained in paragraph
43 of plaintiff's Second Amended Complaint.

- 44. Steven Dean serving as the First Officer, commonly referred to as co-pilot, and Scott Knabe serving as Captain, commonly referred to as pilot, were assigned to fly US Airways flight 9446 on August 26, 2003, after maintenance.
- **ANSWER**: The Raytheon Defendants admit only that Steven Dean and Scott Knabe flew a repositioning flight of 1900D S/N UE40 on August 26, 2003, after maintenance was performed on it by Colgan Air.
 - 45. Shortly after takeoff the flight crew reported a problem with the trim.

ANSWER: The Raytheon Defendants admit the allegations contained in paragraph 45 of plaintiff's Second Amended Complaint.

46. The flight crew selected a nose up trim, but instead the aircraft elevator traveled the full nose-down position, and crashed into the water killing Steven Dean and Scott Knabe.

ANSWER: The Raytheon Defendants admit only that the subject aircraft crashed into the water and that Steven Dean and Scott Knabe were fatally injured. The Raytheon Defendants are without sufficient information or knowledge as to specifically what the flight crew did in terms of selecting trim position at various parts of the flight or the corresponding movement of the elevator, or elevator trim tabs if any, and therefore denies those allegations at this time.

47. As a result of the foregoing which is a direct and proximate result of the conduct of defendants herein, and each of them, Steven Dean was forced to endure severe mental anguish, fear of impending death, conscious pain and suffering, and ultimately he suffered severe physical injuries which caused his death.

ANSWER: The Raytheon Defendants deny the allegations contained in paragraph 47 of plaintiff's Second Amended Complaint.

48. As a direct and proximate result of the acts and omissions of each defendant herein, there was a measurable and significant period of time from the first trauma causing injury to decedent and before the decedent's death such that decedent consciously suffered injuries and damages for a measurable period of time before decedent's death.

ANSWER: The Raytheon Defendants deny the allegations contained in paragraph 48 of plaintiff's Second Amended Complaint.

- 49. As a direct and proximate result of the acts and omissions of each defendant, decedent's personal property was damaged, destroyed and tortuously interfered with, all to the damage of decedent and/or his estate.
- **ANSWER**: The Raytheon Defendants deny the allegations contained in paragraph 49 of plaintiff's Second Amended Complaint.
- 50. As a direct result and proximate result of the act and omissions of each defendant, Steven Dean died and his beneficiaries, heirs and survivors, including but not limited to Yisel Dean, decedent's and Mrs. Dean's minor child, and other immediate next of kin, have been and continue to be deprived of decedent's income, services, support, help and assistance, advice and guidance, and other economic losses.
- **ANSWER**: The Raytheon Defendants deny the allegations contained in paragraph 50 of plaintiff's Second Amended Complaint.
- 51. As a direct and proximate result of the acts and/or omissions of defendants, and each of them, Steven Dean died and his beneficiaries, heirs and survivors have suffered and continue to suffer non-economic damages including but not limited to loss of consortium, companionship, comfort, care, love, affection, assistance, presence, protection, society, confidence and guidance, as well as suffering, grief, anguish, bereavement, pain and suffering and emotional trauma.
- **ANSWER**: The Raytheon Defendants deny the allegations contained in paragraph 51 of plaintiff's Second Amended Complaint.
- 52. As a direct and proximate result of the acts and omissions of defendants, and each of them, Steven Dean died and his beneficiaries, heirs and survivors have incurred funeral, burial, travel and related expenses.

- **ANSWER**: The Raytheon Defendants deny the allegations contained in paragraph 52 of plaintiff's Second Amended Complaint.
- 53. The defendants and each of them owed a duty to plaintiff, and it was reasonably foreseeable that in breaching that duty, serious injury and death could result. The defendants, and each of them breached that duty and those breaches caused serious harm to plaintiff.
- **ANSWER**: The Raytheon Defendants deny the allegations contained in paragraph 53 of plaintiff's Second Amended Complaint.

COUNT I

(Negligence Claim Against Raytheon)

- 54. The plaintiff incorporate by reference all prior allegations.
- **ANSWER**: The Raytheon Defendants incorporate by reference all prior responses.
- 55. Raytheon provided Colgan with an aircraft and with an Airliner Maintenance Manual (AMM) prescribing how repairs and maintenance to the Beech 1900D aircraft should be made.
- ANSWER: Defendant Raytheon Aircraft Credit Corporation admits only that it leased the subject aircraft to Colgan. Defendant Raytheon Aircraft Company admits only that it published an Airliner Maintenance Manual with respect to the 1900D model that is the subject of this action. Defendant Raytheon Company and Raytheon Aircraft Holding, Inc. deny involvement in such matters.
- 56. The aircraft had a problem with the trim and Raytheon knew or should have known that individuals or entities performing maintenance would rely on the AMM or other Raytheon maintenance advice and illustrations to perform maintenance and repairs on the Beech 1900D aircraft it manufactured.

ANSWER: Defendant Raytheon Aircraft Company admits only that it expected users of its maintenance manuals to utilize them for their stated purpose, using the skill and training required by the Federal Aviation Regulations of any certified airplane mechanic. RAC is without sufficient information or knowledge with respect to a specific "problem with the trim" of the subject aircraft. Defendants Raytheon Aircraft Credit Corporation, Raytheon Aircraft Holdings, Inc. and Raytheon Company deny involvement in such matters.

57. Raytheon had a duty to provide an aircraft that was fully functional, airworthy and without defects, and Raytheon had a duty to provide accurate, reliable information in the AMM and maintenance advice, enabling Colgan and other owners of its Beech 1900D aircraft or other maintenance personnel to make correct and safe repairs to the aircraft.

ANSWER: Defendant Raytheon Aircraft Credit Corporation admits only that it was obligated to and did provide an aircraft as described in its lease with Colgan Air, which is the document defining those parties' rights and obligations. Defendant Raytheon Aircraft Company admits only that it had a duty to exercise reasonable care in designing, manufacturing, and providing the aircraft and related manuals it produces. Defendant Raytheon Company and Raytheon Aircraft Holdings, Inc. deny involvement in such matters.

58. Raytheon was advised there was a problem with the trim on the aircraft and knew or should have known that the information in the AMM, specifically Raytheon Maintenance Manual Rev 9, 27-30-04 for the Beech 1900D aircraft was defective and incorrect and that if the instructions in the manual were followed, a dangerous and fatal accident could result.

ANSWER:

- The Raytheon Defendants deny the allegations contained in paragraph 58 of plaintiff's Second Amended Complaint.
- 59. Raytheon knew or should have known that the accident aircraft was defective upon, or soon after its delivery to Colgan due to the defective or improperly functioning elevator pitch trim system and Raytheon knew or should have known that such defects could cause a dangerous and fatal accident.
- The Raytheon Defendants deny the allegations contained in paragraph 59 of ANSWER: plaintiff's Second Amended Complaint.
- 60. Raytheon by and through its agents, servants and employees acting in the course and scope of their employment, breached its duties and were negligent by:
 - Providing a defective, improperly functioning and/or unairworthy aircraft a. to Colgan for use in its flight operations.
 - b. providing a defective AMM with erroneous advice and diagrams.
 - Failing to discover and/or correct the AMM. C.
 - d. Failing to discover and/or correct the defects or problems with the aircraft.
 - Failing to warn of the hazards presented to persons at risk from the use of e. the aircraft.
 - f. Failing to warn of the hazards presented to persons at risk from the erroneous AMM.
- **ANSWER**: The Raytheon Defendants deny the allegations contained in paragraph 60 of plaintiff's Second Amended Complaint.
- 61. Raytheon's negligence was the direct and proximate cause of the crash of flight 9446 and the death of Steven Dean.

- **ANSWER**: The Raytheon Defendants deny the allegations contained in paragraph 61 of plaintiff's Second Amended Complaint.
- 62. The Plaintiff seeks an award of compensatory damages under Massachusetts law for all compensatory damages allowed by law including, but not limited to, damages for: 1) loss of consortium, society, companionship, comfort, guidance, counsel and advice 2) loss of decedent's income; 3) loss of the decedent's services, protection, care and assistance; 4) decedent's conscious pain and suffering before death; 5) decedent's fear and terror before impact, and 6) funeral and burial expenses.
- ANSWER: The Raytheon Defendants admit that plaintiff seeks recovery of the stated damages but deny any liability for them.

COUNT II

(Punitive Damages Claim Against Raytheon)

- 63. Plaintiff incorporates by reference all prior allegations.
- **ANSWER**: The Raytheon Defendants incorporate by reference all prior responses.
- 64. Raytheon, acted willfully, wantonly and recklessly, with gross negligence and with a conscious disregard for the safety of Steven Dean and others.
- ANSWER: The Raytheon Defendants deny the allegations contained in paragraph 64 of plaintiff's Second Amended Complaint.
- 65. As a direct and proximate result of the reckless, wanton and willful conduct by Raytheon, the aircraft crashed on August 26, 2003, resulting in death and other losses and damages.
- **ANSWER**: The Raytheon Defendants deny the allegations contained in paragraph 65 of plaintiff's Second Amended Complaint.

66. The Plaintiff seeks an award of punitive damages for the wrongful death of Steven Dean pursuant to Massachusetts General Laws Chapter 229 §2 and §6.

ANSWER: The Raytheon Defendants admit that plaintiff seeks recovery of the stated damages but deny any liability for them.

COUNT III

(Breach of Express Warranty, Breach of Implied Warranty of Merchantability, Breach of Implied Warranty of Fitness for a Particular Purpose Against Raytheon)

67. Plaintiff incorporates by reference all prior allegations.

ANSWER: The Raytheon Defendants incorporate by reference all prior responses.

68. Raytheon by and through its certification process for the aircraft, its sales and leasing documents, and its advertising, expressly warranted that the aircraft and the aircraft maintenance procedures and manuals including but not limited to the AMM would be safe, accurate, fit for ordinary use, and free of defects.

ANSWER: Defendant Raytheon Aircraft Company admits only that the sole warranty provided to Colgan was an express limited warranty as stated in the January 9, 2003 Side Letter Agreement provided in conjunction with Raytheon Aircraft Credit Corporation's lease of the aircraft to Colgan. Defendant Raytheon Aircraft Credit Corporation admits only that any warranties it provided were stated in its lease documents with Colgan. Defendant Raytheon Company and Raytheon Aircraft Holdings, Inc. deny involvement in such matters.

69. Raytheon, by and through the sale of the aircraft in question, impliedly warranted to the public generally, and to Steven Dean, that the accident aircraft and the aircraft procedures and manuals including but not limited to the AMM were fit for their ordinary purpose and of fair

quality. Defendant had a duty to provide adequate warnings of the dangers associated with the design of the aircraft and dangers associated with the foreseeable usage and maintenance of the aircraft, of which they knew or should have known.

ANSWER: Defendant Raytheon Aircraft Company admits only that any implied warranties were limited and disclaimed by the express limited warranty provided by Raytheon Aircraft Company in conjunction with Raytheon's Aircraft Credit Corporation's lease of the aircraft to Colgan. Defendant Raytheon Aircraft Company denies the scope of any such implied warranties as articulated by plaintiff in the Complaint and further specifically denies the breach of any such implied warranties. Defendant Raytheon Aircraft Company also objects to the allegations of paragraph 68 of plaintiff's Complaint to the extent they constitute a legal conclusion. Defendants Raytheon Company, Raytheon Aircraft Holding, Inc. and Raytheon Aircraft Credit Corporation deny involvement in such matters.

70. Raytheon, by and through the sale of the aircraft in question, impliedly warranted to the public generally, and to Steven Dean, that Beech 1900D aircraft and the accident aircraft in particular and the accompanying maintenance procedures and manuals were fit for the particular purpose for which they were intended.

ANSWER: Defendant Raytheon Aircraft Company admits only that any implied warranties were limited and disclaimed by the express limited warranty provided by Raytheon Aircraft Company in conjunction with Raytheon's Aircraft Credit Corporation's lease of the aircraft to Colgan. Defendant Raytheon Aircraft Company denies the scope of any such implied warranties as articulated by plaintiff in the Complaint and further specifically denies the breach of any such

implied warranties. Defendant Raytheon Aircraft Company also objects to the allegations of paragraph 69 of plaintiff's Complaint to the extent they constitute a legal conclusion. Defendants Raytheon Company, Raytheon Aircraft Holdings, Inc. and Raytheon Aircraft Credit Corporation deny involvement in such matters.

71. Contrary to these warranties, the accident aircraft and maintenance procedures and manuals were not safe and free of defects and were not fit for their ordinary, intended, particular and foreseeable uses and purposes. Instead, the aircraft and its component parts, systems, manuals, instructions, and/or warnings were defective and unreasonably dangerous by reason of defective design, manufacture, and/or marketing, the failure of defendants to give adequate and proper warnings of the dangers existing therein and the failure of Raytheon to give adequate instructions regarding the avoidance of such dangers. The unreasonably dangerous conditions and/or defects include the defective elevator pitch trim system, maintenance manuals, maintenance procedures, and/or the absence of adequate warnings and instructions regarding such conditions. The defective and unreasonably dangerous conditions exposed Steven Dean, and the public in general, to an unreasonable risk of harm and were the proximate cause of plaintiff's injuries and damages.

ANSWER: The Raytheon Defendants deny the allegations contained in paragraph 71 of plaintiff's Second Amended Complaint.

- 72. Raytheon breached the express and implied warranties in the following ways:
 - a. By providing a defective, improperly functioning, and unairworthy aircraft to Colgan for use in its flight operations.
 - b. By providing a defective AMM with erroneous advice and diagrams.

- By failing to provide warnings regarding the dangers associated with the C. design of the aircraft and dangers associated with the foreseeable usage and maintenance of the aircraft.
- d. By failing to provide proper instructions and procedures for the safe operation and/or maintenance of the aircraft.
- The Raytheon Defendants deny the allegations contained in paragraph 72 of ANSWER: plaintiff's Second Amended Complaint.
- 73. Raytheon knew or should have known that pilots such as Steven Dean would use the aircraft and be subject to an unreasonable risk of injury or death if such express and implied warranties were breached in such a manner.
- The Raytheon Defendants deny the allegations contained in paragraph 73 of ANSWER: plaintiff's Second Amended Complaint.
- 74. Steven Dean relied on the express and implied warranties and made use of the aircraft as alleged herein in a reasonable and foreseeable manner and in the manner in which the aircraft was intended.
- The Raytheon Defendants deny the allegations contained in paragraph 74 of ANSWER: plaintiff's Second Amended Complaint.
- 75. The crash of the aircraft and the wrongful death of Steven Dean and damage to plaintiff were proximately caused by Raytheon's breach of express warranty and implied warranties of merchantability and fitness.
- ANSWER: The Raytheon Defendants deny the allegations contained in paragraph 75 of plaintiff's Second Amended Complaint.

76. By reason of the foregoing, said defendant is liable to plaintiff in breach of warranty for the wrongful death, injuries and damages to decedent and decedent's personal property and for the resulting damages sustained by plaintiff.

ANSWER: The Raytheon Defendants deny the allegations contained in paragraph 76 of plaintiff's Second Amended Complaint.

COUNT IV

(Violation of Mass. Gen. Laws c. 93A, §§2, 9 Unfair or Deceptive Acts and Practices)

77. Plaintiff incorporates by reference all prior allegations.

ANSWER: The Raytheon Defendants incorporate by reference all prior responses.

- 78. Defendant Raytheon and each of them, individually and collectively committed unfair and deceptive acts and practices in violation of the Massachusetts Consumer Protection Act, Mass. Gen. Laws. c. 93A, §§2, 9, and particularly, but without limitation:
 - a. Unfairly and deceptively drafting, editing, publishing and selling the AMM, also referred to as the Raytheon Electronic Publications Program Maintenance Library for the Beech 1900 ("REPS Manual"), together with many revisions, which revisions were also defective, which were used in and distributed to Colgan's Hyannis, Massachusetts facility, and used to repair the plane subsequently flown by Scott Knabe and Steve Dean;
 - b. Knew or should have known that its AMM or REPS Manual was defective, erroneous and misleading in that it contained many errors;
 - c. Knew or should have known that the revisions to the erroneous manual were themselves erroneous, defective, and misleading;
 - d. Knew or should have known that erroneous manuals and/or erroneous revisions could lead to aviation accidents, injuries and death;

- e. Unfairly and deceptively advising Colgan to replace aircraft parts with parts which pursuant to the Beech 1900 D Airline Illustrated Parts Catalog (IPC) were not to be used in the aircraft at issue herein;
- f. Unfairly and deceptively advising Colgan to use parts, including but not limited to actuator part numbers 19-526033-6, -7, and/or -9, which the IPC warned cannot be used in the aircraft at issue herein;
- g. Unfairly and deceptively advising Colgan to use parts other than those approved for use in this aircraft because the actuator parts approved for this in this aircraft, to wit 129-526033-27, -29, were not yet available and providing such advice even though a Raytheon Aircraft Service Bulletin 27-3032, issued in July 2003, called for mandatory modification of -6, actuators before installation in aircraft and recommended modification of actuators -7 and -9 before installation in aircraft, and did not indicate that unmodified actuators could be used in this aircraft; and/or
- h. Unfairly and deceptively advising use of parts requiring modification despite being advised that Colgan's facility at Hyannis, Massachusetts had no authority to modify parts for installation in operational aircraft.

ANSWER: The Raytheon Defendants deny the allegations contained in paragraph 78 of plaintiff's Second Amended Complaint.

79. Defendant Raytheon's drafting, editing, publishing, selling, placing into stream of commerce, encouraging and/or requiring reliance thereon, and representing that it contained appropriate maintenance and repair instructions for the Beech 1900, when in fact it was misleading and erroneous and had been revised many, many times, with revisions to revisions,

and Raytheon's instruction to Colgan to use parts which Raytheon's IPC advised were not be used in this aircraft, were unfair and deceptive acts which caused injury and death, and were willful and knowing violations of §§2. Defendant Raytheon had actual knowledge its manual and/or revisions were defective, and Raytheon attempted to get by with a cobbled together, erroneous, patchwork manual, and instructions to use parts not approved for this plane because there approved parts were not available.

- The Raytheon Defendants deny the allegations contained in paragraph 79 of **ANSWER:** plaintiff's Second Amended Complaint.
- 80. Defendant Raytheon knew or should have known these practices were in violation of §§ 2 and 9 of the Massachusetts Consumer Protection Act, Mass. Gen. Laws c. 93A.
- The Raytheon Defendants deny the allegations contained in paragraph 80 of ANSWER: plaintiff's Second Amended Complaint.
- 81. Defendant used and employed these acts or practices in willful or knowing violations of §§2 and 9 of the Massachusetts Consumer Protection Act, Mass. Gen. Laws c. 93A. The Raytheon Defendants deny the allegations contained in paragraph 81 of ANSWER: plaintiff's Second Amended Complaint.
- 82. As a result of the unfair and deceptive conduct of defendant Raytheon, individually and collectively, Steven Dean was a person injured, and killed, by Raytheon's use or employment of the above described deceptive representations, which are methods, acts and/or practices unlawful under § 2 of 93 Mass. Gen. Law. Therefore, the representative of Steven Dean's estate if entitled to bring this action under §9 of 93 Mass. Gen. Law.
- ANSWER: The Raytheon Defendants deny the allegations contained in paragraph 82 of plaintiff's Second Amended Complaint.

- 83. Plaintiff is entitled to compensatory damages from Defendant for the economic and non-economic damages, and multiple or punitive damages including but not limited to tow times the amount of actual damages for Raytheon's willful and knowing violations and/or refusal to grant relief upon demand, all other such relief as the court deems necessary and proper, and attorneys fees and costs to the extent allowed by law.
- **ANSWER**: The Raytheon Defendants deny the allegations contained in paragraph 83 of plaintiff's Second Amended Complaint.

COUNT V

(Gross Negligence Against Colgan for Exemplary Damages)

- 84. Plaintiff incorporates all prior allegations by reference.
- 85. Colgan was the employer of Steven Dean and was entrusted with and did exercise the power of superintendence over Steven Dean.
- 86. Colgan had a duty to provide safe equipment to Steven Dean and to provide Steven Dean with a safe work environment.
- 87. Colgan had a duty to properly perform its maintenance and repairs and to use approved procedures and parts.
- 88. Colgan breached its duty to Steven Dean by requiring him to fly an aircraft that was defective, unreasonably dangerous, improperly maintained, and improperly repaired.
- 89. Colgan's acts and/or omissions as described herein, were intentional acts or omissions, or involved an extreme degree of risk considering the probability and magnitude of the potential harm to others including Steven Dean.
- 90. Colgan had actual, subjective awareness of the risk involved, but nevertheless proceeded with conscious indifference to the rights, safety or welfare of others.

91. As a result of Colgan's gross negligence, Steven Dean suffered significant and painful injuries, mental anguish and death when the defective aircraft crashed.

ANSWER TO 84 THROUGH 91 COLLECTIVELY

The Raytheon Defendants state that the allegations of paragraphs 84 through 91 of plaintiff's Complaint do not relate to them and therefore no response is required.

COUNT VI

(Prejudgment Interest)

92. Plaintiff incorporates by reference all prior allegations.

ANSWER: The Raytheon Defendants incorporate by reference all prior responses.

93. Plaintiff claims as an element of wrongful death and survival damages, prejudgment interest at the maximum legal rate as permitted by Massachusetts General Laws Chapter 229, §11, or any other applicable law or statute, to provide full compensation to plaintiff and prevent the unjust enrichment of defendants and each of them.

ANSWER: The Raytheon Defendants admit that plaintiff seeks prejudgment interest but deny plaintiff's entitlement thereto.

(COUNT VII)

(Res Ipsa Loquitur)

94. Plaintiff incorporates by reference all prior allegations herein.

ANSWER: The Raytheon Defendants incorporate by reference all prior responses.

95. Defendants, and each of them, had exclusive management and control over the manufacture of this aircraft and, its maintenance and repair manuals, and the maintenance and repair of this aircraft, which aircraft and/or repair failed resulting in the aircraft failing to properly operate and crashing, killing Steven Dean and Scott A. Knabe.

ANSWER: The Raytheon Defendants deny the allegations contained in paragraph 95 of plaintiff's Second Amended Complaint.

96. The uncommanded movement of the aircraft elevator to a full nose down position, when the flight crew selected a nose up trim, as set forth herein, is such that in the ordinary course of events would not have occurred if defendants, and each of them, had exercised ordinary care in the manufacture and sale or lease of the aircraft, and its maintenance manuals, instruction and guidance, and maintenance and repair pursuant thereto. Because of the defendants' exclusive control and management of the aircraft and/or manuals, manufacture, sale, lease, use, maintenance and repair, defendants are possessed of superior, if not exclusive access to information concerning the precise cause of the accident and plaintiff relies on the negligence of defendants, as inferred from the general circumstances alleged herein. The fact that the aircraft was not properly repaired, and the maintenance manuals and advice were incorrect was not due to any action or contribution on the part of the plaintiff's decedent.

ANSWER: The Raytheon Defendants deny the allegations contained in paragraph 97 of plaintiff's Second Amended Complaint.

THIRD DEFENSE

The plaintiff is barred from recovery against the Raytheon Defendants because the alleged losses were proximately caused by the plaintiff's decedent's own negligence.

FOURTH DEFENSE

The plaintiff is barred from recovery against the Raytheon Defendants because plaintiff's decedent's alleged losses were caused by the intervening and superseding conduct of persons for whose conduct the Raytheon Defendants are not responsible.

FIFTH DEFENSE

The plaintiff is barred from recovery against the Raytheon Defendants because the plaintiff's decedent's alleged damages were caused by the acts or omissions of third parties over whom the Raytheon Defendants exercised no control and for whose conduct the Raytheon Defendants bear no responsibility.

SIXTH DEFENSE

The plaintiff's Complaint fails to state a claim upon which relief may be granted against one or more of the Raytheon Defendants.

SEVENTH DEFENSE

The plaintiff is barred from recovery against the Raytheon Defendants because the plaintiff's decedent's conduct, considered alone or in conjunction with that of third parties and other defendants, was the sole proximate cause of the plaintiff's alleged damages.

EIGHTH DEFENSE

If the plaintiff is entitled to recover against the Raytheon Defendants, any such recovery must be reduced in accordance with the comparative negligence statute, M.G.L. Chapter 231, §85, since the negligence of the plaintiff's decedent was the proximate cause of the injuries allegedly sustained.

NINTH DEFENSE

The plaintiff's decedent was more than 50% at fault in causing the alleged injuries and therefore is barred from recovery by the comparative negligence statute, M.G.L. Chapter 231, §85.

TENTH DEFENSE

To the extent any of plaintiff's claims sound in warranty or contract, such claims are barred or limited pursuant to the specific limitations and disclaimers accompanying the product sold or provided by defendant Raytheon Aircraft Company. In addition, any such claims fail for lack of privity. Defendants Raytheon Aircraft Credit Corporation, Raytheon Aircraft Holdings, Inc, and Raytheon Company were never parties to any contracts from which the express or implied warranties could arise that could be the basis of plaintiff's claims.

ELEVENTH DEFENSE

Plaintiff's claims are barred because the subject products conform to the state of the art of the industry at the time of their design, manufacture, and sale, and because they were in compliance with applicable federal and state regulations and statutes.

TWELFTH DEFENSE

Plaintiff's claims are barred by the sophisticated user doctrine.

THIRTEENTH DEFENSE

Plaintiff's claims are barred by the learned intermediary doctrine.

FOURTEENTH DEFENSE

The product involved in this action was changed in substance and form after it left the control of the Raytheon Defendants by the plaintiff or by one for whose conduct the Raytheon Defendants are not responsible, and such changes were the actual causes of the plaintiff's decedent's injuries.

FIFTEENTH DEFENSE

The product involved in this action was misused after it left the control of the Raytheon Defendants, and the misuse of the product was the actual cause of the plaintiff's decedent's injuries.

SIXTEENTH DEFENSE

Plaintiff's claims under G.L. c. 93A fail for lack of proper and timely presentment.

SEVENTEENTH DEFENSE

The Raytheon Defendants state that if they were liable, negligent or in breach of any warranty, all of which they expressly deny, the Raytheon Defendants' liability in any or all of those events has been terminated by the intervening acts, omissions, or negligence of others for whose conduct the Raytheon Defendants are not legally responsible.

EIGHTEENTH DEFENSE

The Raytheon Defendants state that if the plaintiff proves that Steven Dean was injured as alleged, said injuries were caused by the intervening and/or superseding acts of third persons for whom the Raytheon Defendants are not liable.

NINETEENTH DEFENSE

The Raytheon Defendants state that there was no negligence, gross negligence, willful, wanton, or malicious misconduct, reckless indifference or reckless disregard of the rights of the plaintiff, or malice (actual, legal or otherwise) on the part of the Raytheon Defendants as to the plaintiff herein.

TWENTIETH DEFENSE

The Raytheon Defendants deny that any product manufactured or sold by the Raytheon Defendants caused any injury to the plaintiff.

TWENTY-FIRST DEFENSE

The Raytheon Defendants state that at all times and places mentioned in the Second Amended Complaint, the plaintiff and/or other persons without the Raytheon Defendants' knowledge and approval redesigned, modified, altered and used the Raytheon Defendants' products contrary to instructions and contrary to the custom and practice of the industry. This redesign, modification, alteration, and use so substantially changed the product's character that if there was a defect in the product, which the Raytheon Defendants specifically deny, such defect resulted solely from redesign, modification, alteration, or other such treatment or change and not from any act or omission by the Raytheon Defendants. Therefore, said defect, if any, was created by the plaintiff and/or other persons, as the case may be, and was the direct and proximate cause of the injuries and damages, if any, that the plaintiff allegedly suffered.

TWENTY-SECOND DEFENSE

The Raytheon Defendants state that the complaint fails to state a claim upon which relief can be granted to the extent that it seeks punitive or exemplary damages, which are not recoverable under applicable law.

TWENTY-THIRD DEFENSE

The Raytheon Defendants states that an award of punitive damages against any of the defendants in these cases would be unconstitutional and in violation of the due process and equal protection clauses of the Fourteenth Amendment of the Constitution of the United States and the Massachusetts Declaration of Rights.

TWENTY-FOURTH DEFENSE

To the extent that the Complaint seeks punitive damages, any award of punitive damages against the Raytheon Defendants in this action would violate the constitutional rights of the Raytheon Defendants under the United States Constitution and the Massachusetts Constitution in at least, but not limited to, the following respects:

- a. It would violate the Raytheon Defendants' rights to procedural due process under the Fourteenth Amendment of the United States Constitution and under the corresponding sections of the Massachusetts Constitution in the ways specified in subsection d. and other ways;
- b. It would violate the Raytheon Defendants' rights to substantive due process under the Fifth and Fourteenth Amendments to the United States Constitution and the corresponding sections of the Massachusetts Constitution in the ways specified in subsection d. and other ways;
- c. It would violate federal and state due process unless plaintiff is required to prove every element of her claim for punitive damages beyond a reasonable doubt;
- d. Massachusetts laws on punitive damages: (1) are unconstitutionally vague; (2) are not rationally related to any legitimate governmental interest; (3) establish no consistent, recognizable, or rational standard for submitting punitive damage issues to a jury or for appellate review; (4) do not prohibit the imposition of grossly excessive or arbitrary punishments; (5) do not provide fair notice of the severity of the penalties that may be imposed; (6) do not prohibit the imposition of punitive damages for acts and omissions that occurred outside of Massachusetts; (7) do not prohibit imposition of penalties for unrelated, dissimilar

- and independent acts and omissions; (8) do not prohibit multiple punitive damages awards for the same conduct; and (9) do not require that there be any reasonable ratio or relationship of punitive damages to actual damages.
- e. It would violate the Raytheon Defendants' right not to be subjected to an excessive award in violation of the Eighth Amendment to the United States Constitution and the corresponding section of the Massachusetts Constitution;
- f. It would violate the prohibitions of the United States Constitution and the Massachusetts Constitution on ex post facto laws;
- g. It would violate the right of the Raytheon Defendants to equal protection under the law as guaranteed by the United States Constitution and the Massachusetts Constitution; and
- h. Being in the nature of a penal or criminal sanction, any award of punitive damages that does not provide the Raytheon Defendants with the procedural and substantive safeguards applicable to criminal proceedings would violate the United States Constitution and the Massachusetts Constitution.

TWENTY-FIFTH DEFENSE

With respect to plaintiff's demand for punitive damages, the Raytheon Defendants specifically incorporate by reference any and all standards or limitations regarding the determination and enforceability of punitive damage awards that have been announced in decisions of the United States Supreme Court including, but not limited to, *BMW of North America v. Gore*, 116 S. Ct. 1589 (1996) and *State Farm Mutual Automobile Insurance Company v. Campbell*, 123 S. Ct. 1513 (2003).

TWENTY-SIXTH DEFENSE

The products made by the Raytheon Defendants were "state of the art" products when first manufactured and sold, and as such they were not defective, the Raytheon Defendants were not negligent with respect to the design or manufacture of the product, and the Raytheon Defendants had no duty to warn plaintiff's decedent of any alleged hazard associated with it.

TWENTY-SEVENTH DEFENSE

The Raytheon Defendants assert that the plaintiff's state-based claims are preempted by Federal law.

TWENTY-EIGHTH DEFENSE

The Raytheon Defendants incorporate, as though fully set forth herein, any other affirmative defenses asserted by of any other defendant, which are applicable to the Raytheon Defendants.

TWENTY-NINTH DEFENSE

The Raytheon Defendants reserve the right to assert other defenses which may be disclosed as discovery disclosures and investigation are accomplished and hereby requests leave of Court to amend the within Answer, if necessary, at a later date.

WHEREFORE, the Raytheon Defendants pray that the Complaint against them be dismissed with prejudice, that judgment be entered in favor of the Raytheon Defendants on the claims asserted against them, and that they be awarded their costs and attorney's fees, along with any other relief to which the Court may deem them justly and equitably entitled.

JURY CLAIM

The defendants, Raytheon Company, Raytheon Aircraft Holdings, Inc., Raytheon Aircraft Company and Raytheon Aircraft Credit Corporation, hereby demand a trial by jury on all issues.

> Respectfully submitted, The Defendants, RAYTHEON COMPANY, RAYTHEON AIRCRAFT HOLDINGS, INC., RAYTHEON AIRCRAFT COMPANY AND RAYTHEON AIRCRAFT CREDIT **CORPORATION** By their attorneys,

/s/ Tory A. Weigand

Peter C. Knight, BBO #276000 Gary W. Harvey, BBO #547993 Tory A. Weigand, BBO #548553 MORRISON MAHONEY, LLP 250 Summer Street Boston, MA 02210-1181 617-439-7500

And

MARTIN, PRINGLE, OLIVER, WALLACE & BAUER, L.L.P.

/s/ Michael G. Jones

Michael G. Jones, KS Bar 14511 100 North Broadway, Suite 500 Wichita, KS 67202 (316) 265-9311 (316) 265-2955 (facsimile) mgjones@martinpringle.com Pro Hac Vice Admission Pending

CERTIFICATE OF SERVICE

The undersigned hereby certifies that on the 21st day of July, 2005, a true and correct copy of the above and foregoing ANSWER OF RAYTHEON COMPANY, RAYTHEON AIRCRAFT HOLDINGS, INC., RAYTHEON AIRCRAFT COMPANY AND RAYTHEON AIRCRAFT CREDIT CORPORATION was sent in the United States mail, postage prepaid and properly addressed to:

For Defendant Colgan Air

Thomas B. Almy, Esquire Mark A. Dombroff, Esquire Andre M. Gregorian, Esquire Dombroff & Gilmore, P.C. 1676 International Drive McLean, VA 22102

Anthony L. Deprospo, Jr., Esquire Christopher H. Kenney, Esquire Sherin & Lodgen LLP 101 Federal Street Boston, MA 02110-2104 (service made via electronic filing)

For Plaintiff, Yisel Dean

Mary Schiavo, Esquire Ronald L. Motley, Esquire Jodi Flowers, Esquire Robert Haefele, Esquire Motley Rice LLC 28 Bridgeside Boulevard P.O. Box 1792 Mount Pleasant, SC 29465

Robert McConnell, Esquire Jack McConnell, Jr., Esquire Dan Migliori, Esquire Motley Rice LLC 321 South Main Street P.O. Box 6067 Providence, RI 02903

/s/ Tory A. Weigand